

PATENT  
0305422

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application  
TANAKA, et al.

Serial No.: Not Assigned  
Filed: August 19, 2003

For: ***SOUND RECORDING/REPRODUCING METHOD  
AND APPARATUS***

Group No.: Not Assigned

Examiner: Not Assigned

19704 U.S. PTO

10/643422



08/19/03

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Date of Deposit: August 19, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

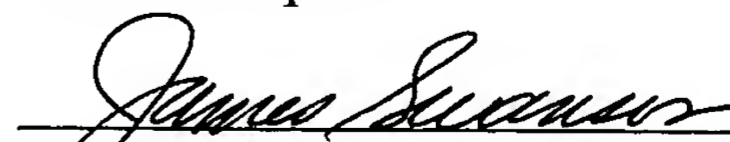
I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (97 pages of specification; 42 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$750.00 and \$1,152.00 to cover the filing fee and additional claims fee
- ☒ 18 sheets of informal drawings
- ☒ A Declaration/Power of Attorney
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ A Preliminary Amendment
- ☒ Certified copy of (2) Japanese patent applications from which priority is claimed
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

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James Swanson

**Under 35 USC 111(a) and Rule 53(b)**

**Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450**

**NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE**

Herewith is the PATENT APPLICATION of  
Inventor(s): TANAKA, et al.

Atty. Dkt.:	P 0305422	H7959US
	M#	Client Ref

Date: August 19, 2003

- No.       /       filed \_\_\_\_\_  
 No.       /       filed \_\_\_\_\_  
 No.       /       filed \_\_\_\_\_  
 No. PCT       /       filed \_\_\_\_\_, which

13(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☒ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$750/\$375	\$750	101/201
19. Total Effective Claims	42	minus 20 =	*22	x \$18/\$9 =	+ 396	103/203
20. Independent Claims	12	minus 3 =	*9	x \$84/\$42 =	+ 756	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22. TOTAL FILING FEE =				\$1902		
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE =				\$1942		

**Our Deposit Account No. 03-3975**

Our Order No. 051270	0305422
C#	M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

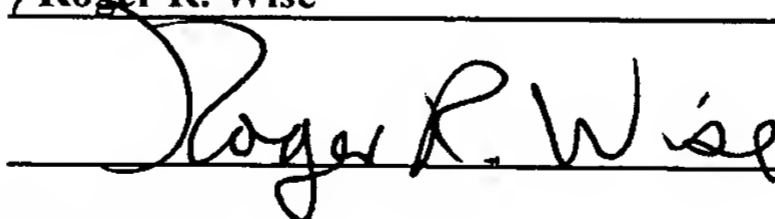
**Pillsbury Winthrop LLP  
Intellectual Property Group**

725 South Figueroa Street, Suite 2800  
Los Angeles, CA 90017-5406  
Tel: (213) 488-7100

By Atty: Roger R. Wise

Reg. No. 31204

Sig:



Fax: (213) 629-1033  
Tel: (213) 488-7584

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